

# Government Investigations & White Collar Defense Group

Responding to aggressive government agencies has become almost a normal part of business activity for many companies and executives. Activities that have traditionally been subject only to administrative and civil remedies now face a growing number of potential criminal penalties. Armed with new tools derived in part from anti-terrorism activities and the Sarbanes-Oxley Act, prosecutors can focus enormous attention on conducting investigations into suspected misconduct, while also evaluating with comparable attention the actual corporate response to early suggestions of wrongdoing. Often a prompt and proper response by management to government inquiries can prevent, solve, or minimize a potential corporate crisis.

At the core of Sullivan & Worcester LLP's Government Investigations & White Collar Defense Group is a team of seasoned investigative and trial attorneys, including former federal prosecutors, other former government attorneys, and tax attorneys, who offer skills and experience derived collectively from hundreds of investigations, regulatory actions, and prosecutions. Our representations have included advocacy for targets, subjects, defendants, witnesses, and victims. We have also represented companies or particular members of management through internal investigations in response to suspected wrongdoing. Our experience includes, among other types of matters, tax evasion, fraud, false statements, securities, price fixing, theft of trade secrets, computer abuse, labor violations, embezzlement, the Foreign Corrupt Practices Act, the False Claims Act, environmental issues, and international child abductions. Our attorneys have the necessary experience to coordinate media strategies, when appropriate, or to focus on preventing potential crises from ever seeing the light of day.

The breadth of the group allows flexibility in the size of matters that we handle, from assisting in a response to a grand jury subpoena to a collective function as a think tank for complex corporate crises. Members of the Government Investigations & White Collar Defense Group not only span the firm's three offices in Boston, New York, and Washington, DC, but also provide access to the numerous other jurisdictions in which they have practiced, and thousands of global contacts .

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## REPRESENTATIVE CLIENT WORK

- Internal corporate investigations in the following industries: financial services, technology, health care, telecommunications, manufacturing, business services, and not-for-profit
- Successfully represented a telecommunications company, and its directors and officers, clearing them of false accusations of federal offenses
- Obtained the dismissal of all securities fraud and other criminal charges pending against a trading specialist in the Southern District of New York
- Successfully represented a vice president of a New Jersey technology company named as a target of a federal investigation into the alleged theft of trade secrets
- Won a motion to suppress and a dismissal of all charges in a federal criminal prosecution in the District of Massachusetts
- Represented an accountant, who had been accused of computer hacking in New Hampshire and, through arduous efforts, avoided federal felony charges while achieving a result with no incarceration or monetary penalty
- Represented a Japanese chemical company named as one of several targets accused of price-fixing with an exposure to more than \$100 million in potential penalties, which was resolved at a small fraction of that on the most favorable terms of any target
- Represented the victim of an international child abduction in recovering her children from a foreign country and winning a jury verdict in Connecticut, in the amount of \$27 million, against a subsidiary of a Fortune 50 company, based on its role in the events
- Successfully represented a technology company and several officers in response to a formal SEC inquiry in Boston that resulted in no adverse action

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*For further information about our Government Investigations & White Collar Defense practice group, you may review the biographies of its members on our Web site ([www.sandw.com](http://www.sandw.com)) or contact them directly:*

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\* former Assistant United States Attorney

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